



Certificate in Anti-Money Laundering (AML) for Compliance Officers

DURATION

5 days

CONTACT HOURS

30

LEVEL

Intermediate

FEE PER DELEGATE

US\$1,500

The compliance officer's own exposure: deciding, recording and defending a financial crime judgement somebody will later examine.

Anti money laundering controls fail in two directions and both are expensive. A programme tuned too wide produces an alert volume nobody can clear, so the typologies that matter sit in a backlog behind noise. A programme tuned too narrow misses them outright. This week is about the judgement in between, and about the position the money laundering reporting officer personally occupies: named to the regulator, accountable for the decision, and usually the only person who understands why it was made. Delegates work on their own institution's risk assessment, alerts and reporting throughout, so what they leave with is theirs rather than a case study.

Available as classroom, live online, in house.

Who it is for

Money laundering reporting officers and their deputies, compliance officers in banks, insurers, microfinance and virtual asset businesses, and the internal auditors who have to form a view on whether a financial crime programme works.

What you will be able to do

- Build a customer and product risk assessment that drives different treatment rather than recording the same rating for everybody.
- Design monitoring that detects a typology instead of generating volume, and justify where the thresholds sit.
- Take an alert to a documented conclusion that holds up when somebody reads it two years later.
- Decide what to escalate and what to report, and keep the two separate.
- Write the programme down so it does not depend on the person who designed it.

The outline

DAY 1

What the obligation actually is

The reporting officer as a named, personally accountable role · Where the duty sits in law and where it sits in practice · Reading a supervisor's findings for what they are really saying · The difference between a programme that exists and one that works

DAY 2

Risk assessment that changes behaviour

Customer, product, channel and geography, weighted and defended · Why a rating nobody acts on is worse than no rating · Beneficial ownership when the answer is not available · Reviewing an assessment after the business has changed

DAY 3

Monitoring and the alert problem

Typologies the institution is actually exposed to · Setting and justifying a threshold, and recording why · Tuning against outcomes rather than against volume · What a backlog does to the quality of every decision in it

DAY 4

Investigation, escalation and reporting

Taking an alert to a conclusion and writing it so it reads · Suspicion, knowledge, and the point at which reporting is required · Handling the customer relationship during an investigation · Tipping off, and what cannot be said to whom

DAY 5

Making it survive you

Documenting a standard somebody else can be held to · Training the first line so the second line is not doing their work · Preparing for an independent review before one is announced · Handover, continuity and what has to be kept

What you leave with

- A risk assessment for the delegate's own institution, with the reasoning behind each rating recorded.
- A written standard for investigating and closing an alert, in a form a reviewer can follow.
- A capability plan that removes the single point of dependency on one officer.

Published dates

DATES	WHERE	FEE
23 to 27 November 2026	Nicosia, Cyprus	US\$1,500
30 November 2026 to 4 December 2026	Nairobi, Kenya	US\$1,500
7 to 11 December 2026	Accra, Ghana	US\$1,500
14 to 18 December 2026	Kampala, Uganda	US\$1,500

Fees are per delegate and cover all materials and meals arranged by the delegate. Reg

Register or ask a question

globalaxistraining.co.za/courses/certificate-in-anti-money-laundering-aml-for-compliance-officers
info@globalaxistraining.co.za
+27 72 337 9234

250 Beyers Naude Drive, Rustenburg, North West, 2999, South Africa

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